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PTO/SB/05 (11/98)

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. 0756-2183	
		First Inventor or Application Identifier: Shunpei YAMAZAKI	
		Title: METHOD OF FORMING INSULATING FILMS, CAPACITANCES, AND SEMICONDUCTOR DEVICES	
		Express Mail Label No.	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1 [X] Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2 [X] Specification Total Pages [16] (preferred arrangement set forth below)</p> <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure <p>3 [X] Drawing(s) (35 USC 113) Total Sheets [4]</p> <p>4 [X] Oath or Declaration Total Pages [2]</p> <ul style="list-style-type: none">a. [] Newly executed (original or copy)b. [X] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below][] DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). <p>5 [X] Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>		<p>6 [] Microfiche Computer Program (Appendix)</p> <p>7 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none">a. [] Computer Readable Copyb. [] Paper Copy (identical to computer copy)c. [] Statement verifying identity of above copies <p>ACCOMPANYING APPLICATION PARTS</p> <p>8 [] Assignment Papers (cover sheet & document(s))</p> <p>9 [] 37 CFR 3.73(b) Statement [] Power of Attorney (when there is an assignee)</p> <p>10 [] English Translation Document (if applicable)</p> <p>11 [X] Information Disclosure Statement [] Copies of IDS (IDS)/PTO-1449 Citations</p> <p>12 [X] Preliminary Amendment</p> <p>13 [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14 [] *Small Entity [] Statement filed in prior application, Statement(s) Status still proper and desired (PTO/SB/09-12)</p> <p>15 [] Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16 [X] Other: Notice of Change of Company Name and Address</p> <p>*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon</p>	
<p>17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment</p> <p>Divisional of prior application Serial No. 08/857,556 filed May 16, 1997; which itself is a Division of Serial No. 08/250,344 filed May 27, 1994, now U.S. Patent 5,665,210; which is a Continuation of Serial No. 08/041,520 filed March 30, 1993, now abandoned; which is a Continuation of Serial No. 07/729,533, filed July 5, 1991, now abandoned.</p> <p>Prior application information: Examiner: S. Crane Group/Art Unit: 2811</p>			
18. CORRESPONDENCE ADDRESS			
[X] Customer Number or Bar Code Label Customer No. 22204 or [X] Correspondence address below			
<small>(Insert Customer No. or Attach bar code label here)</small>			
Name: Jeffrey L. Costellia			
Firm: NIXON PEABODY LLP			
Address: 8180 Greensboro Drive, Suite 800			
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Name: Jeffrey L. Costellia		Registration No. 35,483	
Signature:		Date: July 20, 2000	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

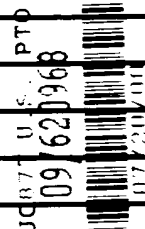
Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

Complete If Known

Application Number
Filing Date July 20, 2000
First Named Inventor Shunpei YAMAZAKI
Examiner Name S Crane
Group Art Unit 2811

TOTAL AMOUNT OF PAYMENT \$690.00

Attorney Docket Number 0756-2183



METHOD OF PAYMENT (check one)

- 1 ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to
Deposit Account No. 19-2380
Deposit Account Name NIXON PFARBODY LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance
- 2 ☒ Payment Enclosed
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1 BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	690	201	345	
106	310	206	155	
107	480	207	240	
108	690	208	345	
114	150	214	75	

SUBTOTAL (1)

\$690.00

2 EXTRA CLAIM FEES

Extra Claims Fee from Below Fee Paid

Total Claims 1 - 20** = 0 X \$18.00 = \$

Independent Claims 1 - 3** = 0 X \$78.00 = \$

Multiple Dependent Claims \$260.00 = \$

**or number previously paid, if greater. For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	
102	78	202	39	
104	260	204	130	
109	78	209	39**Reissue independent claims over original patent	
110	18	210	9**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

- 0 -

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Ext for reply within first month	
116	380	216	190	Ext for reply within second mth	
117	870	217	435	Ext for reply within third mth	
118	1,360	218	580	Ext for reply within fourth mth	
128	1,850	228	925	Ext for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing brief in support of appeal	
121	260	221	130	Request for Oral Hearing	
138	1,510	138	1,510	Petition to institute public use proceeding	
140	110	240	55	Petition to revive-unavoidable	
141	1,210	241	605	Petition to revive-unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	222	130	Petitions to the Commissioner	
123	50	223	50	Petitions related to provisional applications	
126	240	226	240	Submission of IDS	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	
				Other _____	
				Other _____	
				*Reduced by Basic Filing Fee Paid	
				SUBTOTAL (3)	\$

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name Jeffrey L. Costellia

Reg. Number 35.483

Signature

Date July 20, 2000

Deposit Account User ID 19-2380

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